

10/516574 DT05 Rec'd POPPTO 0 2 DEC 2004

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INTELLECTUAL PROPERTY LAW

TIMOTHY E. NEWHOLM DIRECT DIAL: 414-225-1667 TEN@BOYLEFRED.COM

December 2, 2004

Mail Stop Patent Application Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Re:

Utility Patent Application Transmittal

Inventor(s): Sang-Uk Kim

Title: Hybrid Dynamic Pressure Bearing of Spindle Motor

**USPTO Customer Account No.: 23598** 

Attorney Docket No.: 1032.006

#### Dear Sir:

Enclosed in connection with the above-identified utility patent application are:

- the patent application, consisting of:
  - o 45 pages of specification,
  - o 34 claims on 18 sheets,
  - o 18 Drawings on 18 sheets; and
  - o a 1-page Abstract.
- our check in the amount of \$1077.00 in payment of the government filing fee by a *small* entity, including (\$1110) for the patent application, including additional claim fee for 14 claims in excess of 20 (\$252); 9 independent claims in excess of 3 (\$792) reduced by ½; and
- a Transmittal Letter to the United States Designated/Elected Office (DO/EO/US)
   Concerning a Filing Under 35 U.S.C. 371 (Form PTO-1390).

# Applicant:

Name Residence
Sang-Uk Kim Seoul, Korea

# **Assignment for Publication**

G & W Technologies Corp.

## **Small Entity Statement**

Applicant is a small entity within the meaning of 37 CFR §§1.9 and 1/27 and hereby claims small entity status.

## Power of Attorney:

The patent practitioners associated with Customer No. 23598.

### Domestic Priority data as claimed by Applicant:

International Application No. PCT/KR03/01098; International Filing Date 4 June 2003 (04.06.2003)

#### Foreign Priority Application:

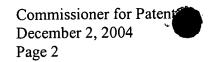
This application claims priority from Korean Patent Appl. No. 10-2002-0031221, filed 4 June 2002

#### Non-Publication Request:

Not applicable.

#### **Documents to Follow:**

- an executed Declaration executed by the inventor, Sang-Uk Kim;
- an executed Assignment from the inventor, G & W Technologies Corporation;
- an executed Appointment of Domestic Representative; and
- an Information Disclosure Statement (IDS), Form PTO-1449, and a copy of the cited documents.



# **Deposit Account Authorization**

The Director is hereby authorized to charge payment of any additional fees associated with this communication or credit any overpayment to Deposit Account No. 50-1170.

Respectfully submitted,

Timothy E. Newholm Registration No. 34,400

Customer Account No. 23598

Certification Under 37 CFR 1.10

Express Mail Label No: EV313042753US

Date of Deposit: December 2, 2004

I hereby certify that this paper or fee is being deposited with the United States Postal Service in "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to the Director of the U.S. Patent and Trademark Office, Box Patent Application, Washington, D.C. 20231.

Idi A Calderon

# DT03 Rec'd PCT/PTO 0 2 DEC 2004

PTO-1390 (Rev. 10-2004) Approved for use through 3/31/2007. OMB 0651-0021

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

#### ATTORNEY'S DOCKET NUMBER TRANSMITTAL LETTER TO THE UNITED STATES 1032.006 DESIGNATED/ELECTED OFFICE (DO/EO/US) U.S. APPLICATION NO. (If known, see 37 CFR 1.5) T/KR2003/001098 **CONCERNING A SUBMISSION UNDER 35 U.S.C. 371** INTERNATIONAL FILING DATE PRIORIT INTERNATIONAL APPLICATION NO. 4 June 2002 PCT/KR2003/001098 4 June 2003 TITLE OF INVENTION Hybrid Dynamic Pressure Bearing of Spindle Motor APPLICANT(S) FOR DO/EO/US Sang-Uk Kim Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: This is a FIRST submission of items concerning a submission under 35 U.S.C. 371. This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371. 2. This is an express request to begin national examination procedures (35 U.S.C. 371 (f)). The submission must include items (5), (6), (9) and (21) indicated below. The US has been elected (Article 31). A copy of the International Application as filed (35 U.S.C. 371 (c)(2)) is attached hereto (required only if not communicated by the International Bureau). has been communicated by the International Bureau. is not required, as the application was filed in the United States Receiving Office (RO/US). An English language translation of the International Application as filed (35 U.S.C. 371 (c)(2)). is attached hereto. has been previously submitted under 35 U.S.C. 154(d)(4). Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) are attached hereto (required only if not communicated by the International Bureau). have been communicated by the International Bureau. have not been made; however, the time limit for making such amendments has NOT expired. have not been made and will not be made. An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). An English language translation of the annexes of the International Preliminary Examination Report under PCT 10. Article 36 (35 U.S.C. 371(c)(5)). Items 11 to 20 below concern document(s) or information included: An Information Disclosure Statement under 37 CFR 1.97 and 1.98. An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. 12. 13. A preliminary amendment. An Application Data Sheet under 37 CFR 1.76. 14 A substitute specification. A power of attorney and/or change of address letter. 16. A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821 - 1.825. A second copy of the published International Application under 35 U.S.C. 154(d)(4). 18. A second copy of the English language translation of the International Application under 35 U.S.C. 154(d)(4). 19. 20. Other items or information:

This collection of information is required by 37 CFR 1.414 and 1.491-1.492. The information is required to obtain or retain a benefit by the public, which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 15 minutes to complete, including gathering information, preparing, and submitting the completed form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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U.S. APPLICATION NO.	PLICATION NO. (if known, see 37 CFR 1.5)  INTERNATIONAL APPLICATION NO. PCT/KR2003/001098			ATTORNEY'S DOCKET NUMBER 1032.006		
21. X The following fees are submitted:				CALCULATIONS	PTO USE ONLY	
BASIC NATIONAL FEE (37 CFR 1.492 (a) (1) - (5) ) :						
Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO and International Search Report not prepared by the EPO or JPO\$1110.00						
International preliminary examination fee (37 CFR 1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO\$950.00						
International preliminary examination fee (37 CFR 1.482) not paid to USPTO but international search fee (37 CFR 1.445 (a)(2)) paid to USPTO \$790.00						
International preliminary examination fee (37 CFR 1.482) paid to USPTO but all claims did not satisfy provisions of PCT Article 33(1)-(4) \$750.00						
International preliminary examination fee (37 CFR 1.482) paid to USPTO and all claims satisfied provisions of PCT Article 33(1)-(4) \$100.00						
ENTER APPROPRIATE BASIC FEE AMOUNT =					\$ 1110.00	)
Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months from the earliest claimed priority date (37 CFR 1.492(e)).					\$ 0.00	
CLAIMS	NUMBER FILED	NUMBER EXTRA		RATE	\$	
Total claims	1 - 20 = 34	14	×	\$18.00	\$ 252.00	)
Independent claims	1 - 3 = 12	9	х	\$88.00	\$ 792.00	)
MULTIPLE DEPENDENT	CLAIM(S) (if applicable)		+	\$290.00	\$ 2154.00	
TOTAL OF ABOVE CALCULATIONS =					\$ 0.00	
Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by ½.					\$ 0.00	
SUBTOTAL =					\$ 1077.00	)
Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(f)).					\$ 0.00	)
TOTAL NATIONAL FEE =					\$ 0.00	
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property +					\$ 0.00	)
TOTAL FEES ENCLOSED =					\$ 0.00	)
					Amount to be refunded:	\$
					Amount to be charged:	\$
a. X A check in the amount of \$ to cover the above fees is enclosed.						
b. Please charge my Deposit Account No. <u>50-1170</u> in the amount of \$ to cover the above fees.  A duplicate copy of this sheet is enclosed.						
c. X The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 50-1170  . A duplicate copy of this sheet is enclosed.						
d. Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.						
NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status.						
SEND ALL CORRESPONDENCE TO:  SIGNATURE:						
<u>Timothy E. Newt</u> NAME					vhoim	
34400 REGISTRATION NUMBER						